

Applicant or Patentee: Maurice Moloney, Israel Alcantara and Gils Van Bontjen  
 Serial or Patent No.: 09/402488  
 Filed or Issued: October 15, 1999  
 For: Method for Cleavage of Fusion Proteins

Attorney's Docket No.: 99-28

#3

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
 (37 CFR 1.9(b) and 1.27 (d) - SMALL BUSINESS CONCERN)

I hereby declare that I am

- ☐ the owner of the small business concern identified below;  
☐ an official of the small business concern empowered to act on behalf of the concern identified below;

NAME OF CONCERN: Sanofi-Sintabo Genetics Inc.  
 ADDRESS OF CONCERN: 2500 University Drive N.W., Biological Sciences, Room 460, Calgary, Alberta T2N 1N4, Canada

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.27(b)(1), and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and certain with the small business concern identified above with regard to the invention, entitled, Method for Cleavage of Fusion Proteins, by inventor(s) Maurice Moloney, Israel Alcantara and Gils Van Bontjen described in

- ☐ the specification filed herewith  
☒ application serial no. 09/402488 filed October 15, 1999  
☐ patent no. \_\_\_\_\_ issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, to this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Maurice Moloney

TITLE OF PERSON OTHER THAN OWNER: Vice President, Research and Development

ADDRESS OF PERSON SIGNING: 2500 University Dr. N.W., Biological Sciences Rm 460, Calgary, Alberta T2N 1N4, Canada

SIGNATURE

DATE

14 Dec 99

Attorney's Doc. 9160-91

Applicant or Patent: Maurice Moloney, Joenel Alcantara and Gils Van Rooijen

Serial or Patent No.: 09/402489

Filed or Invent: October 15, 1999

Re: Method for Cleavage of Fusion Proteins

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(f) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled Method for Cleavage of Fusion Proteins described in

☐ the specification filed herewith  
☒ application serial no. 09/402489 filed October 15, 1999  
☐ patent no. \_\_\_\_\_ issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(f) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e)

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☐ no such person, concern, or organization  
☒ persons, concerns or organizations listed below

\*NOTE: Separate verified statements are required from each named person, concern, or organization having rights in the invention owing to their status as small entities. (37 CFR 1.27)

FULL NAME ScenBioSyn Canada, Inc.ADDRESS 2530 University Drive NW, Biological Sciences - Room 460, Calgary, Alberta, T2N 1N4, Canada

☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the fee of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR

MAURICE MOLONEY

*Moloney*  
 Signature of Inventor

NAME OF INVENTOR

JOENEL ALCANTARA

*Alcantara*  
 Signature of Inventor

NAME OF INVENTOR

GILS VAN ROOIJEN

*Van Rooijen*  
 Signature of Inventor

15 Dec 99  
 Date

Dec. 15/99  
 Date

December 15/1999  
 Date

